



TEXAS TECH UNIVERSITY HEALTH SCIENCES CENTER EL PASO

Operating Policy and Procedure

HSCEP OP: 10.09, **Records Retention**

PURPOSE: The purpose of this Texas Tech University Health Sciences Center El Paso Operating Policy and Procedure (HSCEP OP) is to define the steps involved in the legal destruction of records which have ceased to have sufficient value to warrant retention.

REVIEW: This HSCEP OP will be reviewed on May 1 every four years (E4Y) by the TTUHSCEP Executive Director of Libraries or designee, with recommendations for revisions submitted to the President by May 15.

POLICY/PROCEDURE:

1. Annually, an appointed individual of each department should review records on hand to determine whether departmental documents should be retained or destroyed.
2. The department should comply with the TTUHSCEP Records Retention Schedule (Attachment A) prepared by the Texas Tech System and approved by the State and Local Records Management Division of the Texas State Library. The retention times apply to the record copy, as provided by Chapter 441, Texas Government Code. These include paper, micro-media, electronic records, or other material that is involved in the transaction of official state business. The convenience copy should not be kept any longer than necessary.
3. Record copy refers to the one original or "master" version of a document. Convenience copy refers to all duplicate copies of a document. Convenience copies can be disposed of at any time and should not be kept longer than the record copy's retention time.
4. The retention time of a record applies regardless of the record's format, be it electronic, micro-media, or paper.
5. All records de-accessioned, disposed of, or destroyed shall be listed on a records disposition log. The department should retain a copy of this disposition log for the appropriate time as listed on the university's records retention schedule.
6. Once a record's retention time has expired and it is no longer needed, the record should be disposed of in the appropriate manner. Records with no personal or confidential information can be recycled or thrown away. Records containing personal or confidential information, such as social security numbers and grades, should be shredded.
7. The assigned individual responsible for destroying the records should observe the destruction process to completion.
8. Records listed on TTUHSCEP's records retention schedule as "archival" have potential historical value and should be transferred to the TTUHSCEP Library Archives for review. The Director of Libraries, or designee, will make the final decision on whether to keep or dispose of the record.